

MIA WEBINAR SERIES

Anti-Money Laundering, Countering Financing of Terrorism and Targeted Financial Sanctions (AMLCFTTFS)

*Detect potential offences
to mitigate risk*

9 & 10 November 2020 (Monday & Tuesday)
9.00 am – 1.15 pm

The programme is designed to assist participants in building a sound understanding of AMLATFPUAA 2001. Under AMLATFPUAA 2001, it requires all reporting institutions to create ongoing employee training programmes to detect any potential offence of money laundering.

Reporting institutions are required to implement AML/CFT risk management that commensurate with the level of money laundering and terrorism financing risks.

Participants will be guided on the best practices for escalating suspicious transaction reports (STRs) to Bank Negara Malaysia, conducting Customer Due Diligence (CDD), the importance of Know Your Customer (KYC) and individual who will be categorised as the Politically Exposed Person (PEPs).

OBJECTIVES

This programme seeks to help you:

- **GAIN** an overview and improve awareness on the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLATFPUAA)
- **EXERCISE** responsibilities affectively to protect your organisation's reputation and avoid regulatory action under AMLATFPUAA 2001
- **COMPLY** with the requirements of AMLATFPUAA 2001, Section 19 (2)(b) on staff training
- **UNDERSTAND** the role of Compliance Officer in prevention of money laundering (ML) and terrorism financing

Anti-Money Laundering, Countering Financing of Terrorism and Targeted Financial Sanctions (AMLCFTFS)

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WHO SHOULD ATTEND

- Accountants
- Risk and Compliance Officers
- AMLCFT Compliance Officers
- Internal Auditors
- Investigators

METHODOLOGY

A highly interactive learning session with trainer/speaker-led facilitation, live Q&As, quick polls/surveys, self-assessment quizzes and participant's feedback on learning outcome achievement.

FACILITATOR

MUHAMAD NAZRI SHAIDON

Muhamad Nazri was a Financial Investigator for 10 years with Bank Negara Malaysia (BNM). He is experienced in financial investigations relating to money laundering and financial crime activities, providing litigation support for prosecution, and provided AML Investigation training to law enforcement agencies in Malaysia. He has also been involved in operations conducted by National Revenue Recovery Enforcement Team, a special task force under the Attorney General's Chambers.

Muhamad Nazri is a Certified Financial Investigator accredited by the National Coordination Committee on Money Laundering and BNM. He is an AML/CFT Trainer for financial institutions and a member of the Examination Committee for Certified Anti-Money Laundering & Counter Financing of Terrorism Compliance Officer programme organised by the Asian Banking School and Asian Institute of Chartered Bankers. He is currently pursuing his PhD in Law (specialising in Money Laundering).

PROGRAMME OUTLINE

DAY 1

| | |
|------------------------|--|
| 8.30 am – 9.00 am | Participants login to join the webinar |
| 9.00 am – 10.00 am | Session 1 <ul style="list-style-type: none"> • Definition <ul style="list-style-type: none"> > Money Laundering and Terrorism Financing |
| 10.00 am – 11.00 am | Session 2 <ul style="list-style-type: none"> • International Standards on AML/CFT Regime <ul style="list-style-type: none"> > Financial Action Task Force (FATF) recommendation > Egmont Group of Financial Intelligence Units (FIU) > United Nations Conventions and Resolutions (UNSCRs) > Asia/Pacific Group on Money Laundering (APG) |
| 11.00 am – 11.15 am | Break |
| 11.15 am – 1.15 pm | Session 3 <ul style="list-style-type: none"> • Malaysia Anti-Money Laundering and Counter Financing of Terrorism Regime <ul style="list-style-type: none"> > Legal and regulatory framework > Roles of Bank Negara Malaysia as Competent Authority under the AMLA > List of serious offences under Second Schedule of AMLA > National Coordination Committee to Counter Money Laundering (NCC) |
| 1.15 pm | End of Day 1 |

DAY 2

| | |
|------------------------|---|
| 8.30 am – 9.00 am | Participants login to join the webinar |
| 9.00 am – 10.00 am | Session 1 <ul style="list-style-type: none"> • AML/CFT Regime in Malaysia <ul style="list-style-type: none"> > Preventive Measures for Reporting Institutions <ul style="list-style-type: none"> – Risk assessment – Know Your Customer (KYC) – Application of Customer Due Diligence (CDD)/Enhanced Due Diligence (EDD) – Name and sanction screening – Specified Person (SP)/Specified Entity (SE) – Special Interest Person (SIP)/Special Interest Entity (SIE) |
| 10.00 am – 11.00 am | Session 2 <ul style="list-style-type: none"> • AML/CFT Regime in Malaysia (continued) <ul style="list-style-type: none"> > Preventive Measures for Reporting Institutions (continued) <ul style="list-style-type: none"> – Politically Exposed Person (PEP) – Politically Exposed Corporation (PEC) – Relatives and Close Associates (RCA) – Beneficial Ownership (BO) |
| 11.00 am – 11.15 am | Break |
| 11.15 am – 1.15 pm | Session 3 <ul style="list-style-type: none"> • The Efficient Suspicious Transaction Report (STR) Write Up <ul style="list-style-type: none"> > Reporting obligation to Bank Negara Malaysia > What is Suspicion? > What is Suspicious Transaction? > What is Suspicious Behaviour? > Designing an effective Internal Reporting System > Making a Suspicious Transaction Report (STR) to Financial Intelligence and Enforcement Unit (FIED) BNM |
| 1.15 pm | End of Webinar |

NOTE: The order of topics to be covered may be revised at the trainer/speaker's discretion.

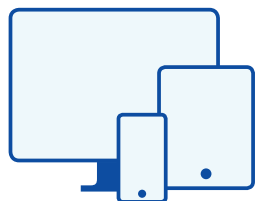
Anti-Money Laundering, Countering Financing of Terrorism and Targeted Financial Sanctions (AMLCFTFS)

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With immediate effect, enrolment for all CPE programmes will be

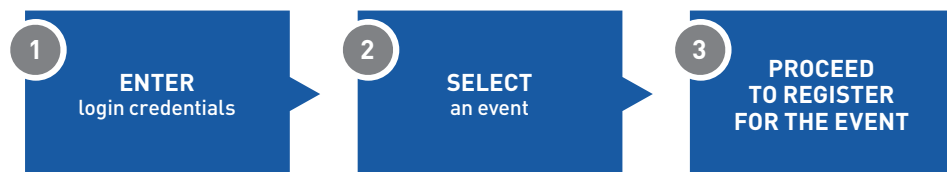
STRICTLY VIA ONLINE REGISTRATION ONLY

REGISTRATION PROCESS

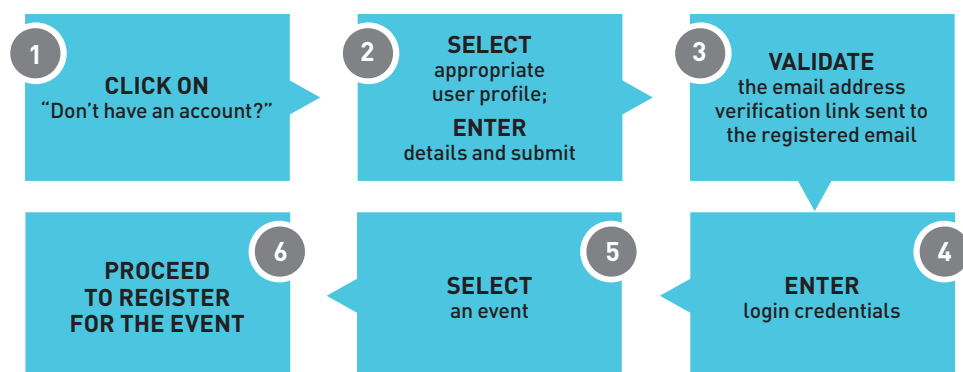


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NEW USER



For any assistance, please call (8.45am-5.30pm, Monday-Friday)

MIA Help Desk @ 603-2722 9000

TERMS & CONDITIONS FOR WEBINAR

WEBINAR FEE

- Fee is payable to MALAYSIAN INSTITUTE OF ACCOUNTANTS
- For selected webinars, the fee includes e-materials.
 - Individual Registration: Full payment shall be made at the point of online registration.
 - Corporate Registration: Full payment shall be made within thirty (30) days from the date of the Proforma Invoice or 1 day before the webinar, whichever earlier.
- Access to join the webinar shall be granted only upon full payment as per the above requirement.

WEBINAR ACCESS LINK

- The Access Link will be emailed at least 24-hours before the commencement of webinar.
- The Access Link is unique and should not be forwarded/shared with others.

CANCELLATION

Should the participant decide to cancel his/her enrolment, a cancellation policy shall be applied as follows:

- Written cancellation received less than seven (7) days from the date of the webinar, an administrative charge of 20% of the registration fee will be imposed. Unpaid registration will also be liable for 20% administrative charge.
- Written cancellation received on the day of the webinar or failed to join the webinar, no refund will be made. Unpaid registration will also be liable for full payment of the registration fee.
- Replacement of participant is not allowed.

PARTICIPANT'S CLASSIFICATION AND INFORMATION

Category: Corporate/Individual

- Please select the participant classification carefully as it determines the fee payable. No alteration will be allowed upon registration.
- The information on Corporate/Individual provided shall be deemed true and correct. No alteration will be allowed upon registration.

METHODOLOGY, CERTIFICATE OF ATTENDANCE AND CPE CREDIT HOURS

- Live Q&As, quick polls/surveys will be carried out throughout the webinar.
- For selected webinars, a pre and/or post course material will be shared with participants.
- Self-assessment quizzes at the beginning as well as at end of the webinar will be given to enable participants to self-evaluate themselves on their learning performance and level of understanding of the programme content.
- For selected webinars, participants will be issued with an e-certificate upon full attendance and submission of the feedback stating the benefits achieved (where applicable), and CPE credit hours for MIA members will be credited into the MIA Member Services Portal within 2 weeks of the webinar.
- The e-certificate and CPE credit hours will not be awarded if participants remain logged in less than 80% of the time allocated for the webinar.
- Listening to pre-recorded webinar and/or reading from past webinar e-material shall not qualify as structured CPE credit hours.

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WEBINAR FEES

| | |
|--------------------|--------|
| Member/Member Firm | RM 545 |
| Non-member | RM 710 |

Preferred Payment: Pay with MIA-CIMB Affinity Credit Card.

WEBINAR DETAILS & REGISTRATION

9 & 10 November 2020 (Monday & Tuesday)

Session 1 : 9.00 am – 10.00 am

Session 2 : 10.00 am - 11.00 am

Session 3 : 11.15 am – 1.15 pm

Contact : Suhailah
 Tel : 03 2722 9290
 Fax : 03 2722 9009
 Email : sp@mia.org.my
 Address : Malaysian Institute of Accountants
 Dewan Akauntan
 Unit 33-01, Level 33
 Tower A, The Vertical
 Avenue 3, Bangsar South City
 No. 8, Jalan Kerinchi
 59200 Kuala Lumpur